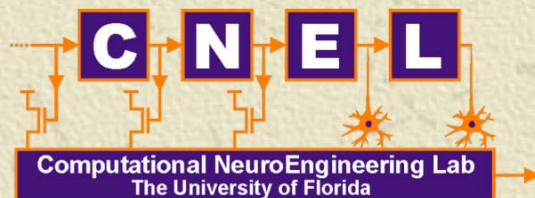


# Architectures and Algorithms for Brain Machine Interfaces

**Jose C. Principe, and Justin C. Sanchez**

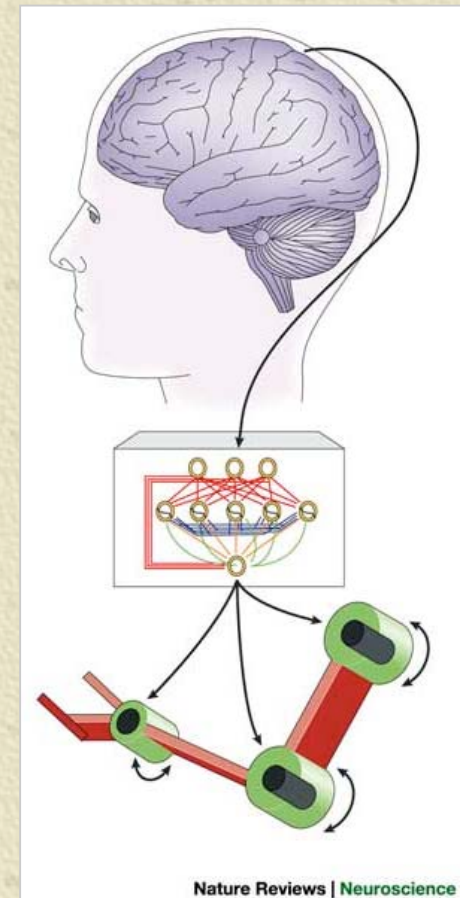
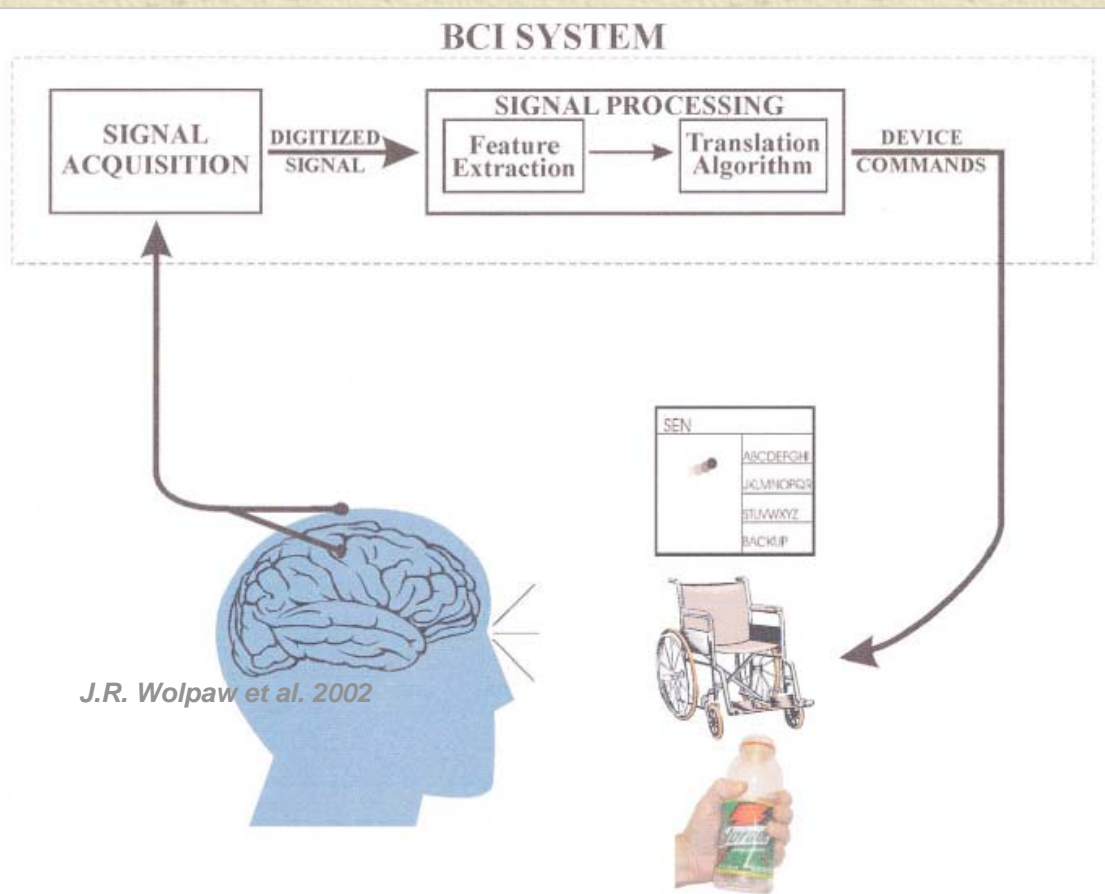
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Electrical and Computer Engineering Department  
University of Florida**

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# Current Architectures

**BCI and BMI bypass the brain's normal peripheral nerves pathways.**



**Great progress was achieved in the last 10 years, BUT... the channel bandwidth is way too low ~ 25 bits/minute (BCI) ~180 bits/minute (BMI)**

# BMI lessons learned

Present systems are **signal translators** and have several deficiencies.

Current decoding methods use kinematic training signals - not available in the paralyzed

I/O models cannot contend with new tasks without retraining. Subjects must change their brain activity!

There is no reason to limit the role of BMIs to passive decoders.



*WTEC Panel Report on*

## INTERNATIONAL ASSESSMENT OF RESEARCH AND DEVELOPMENT IN BRAIN-COMPUTER INTERFACES

Theodore W. Berger (Chair)  
John K. Chapin  
Greg A. Gerhardt  
Dennis J. McFarland  
José C. Principe  
Walid V. Soussou  
Dawn M. Taylor  
Patrick A. Tresco



World Technology Evaluation Center, Inc.  
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Baltimore, Maryland 21210

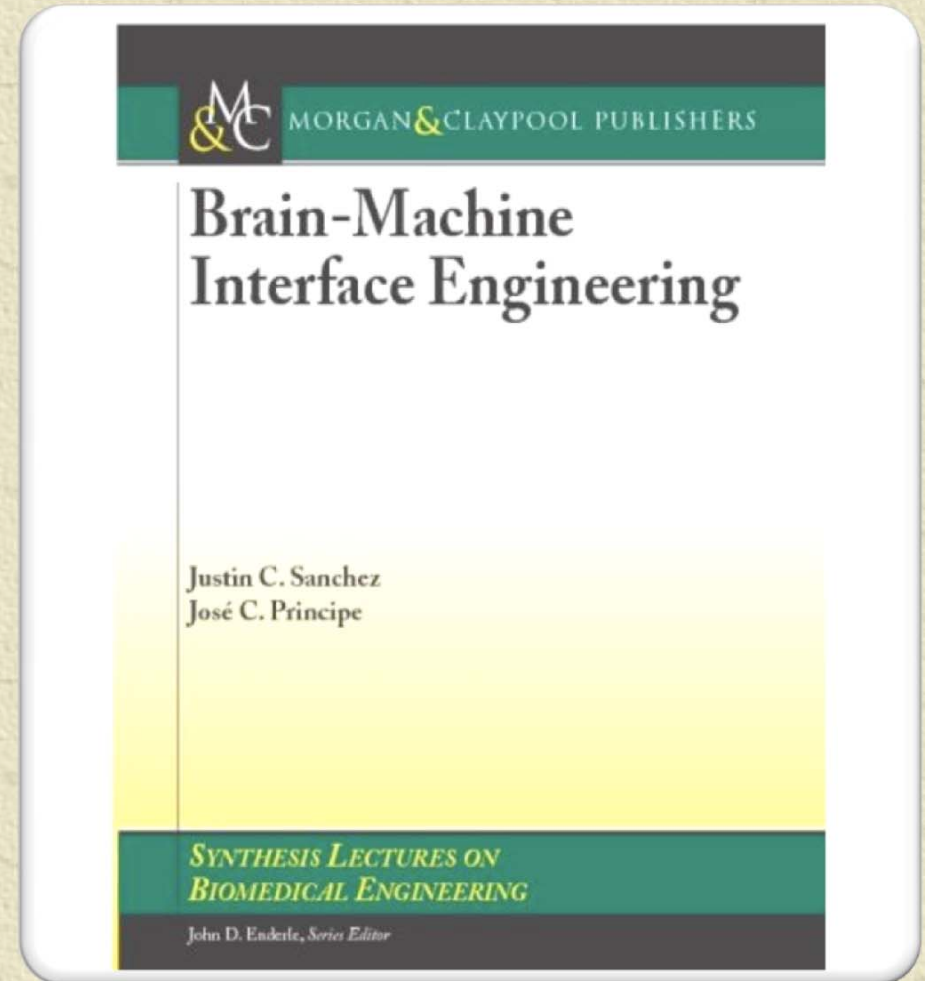
# BMI lessons learned

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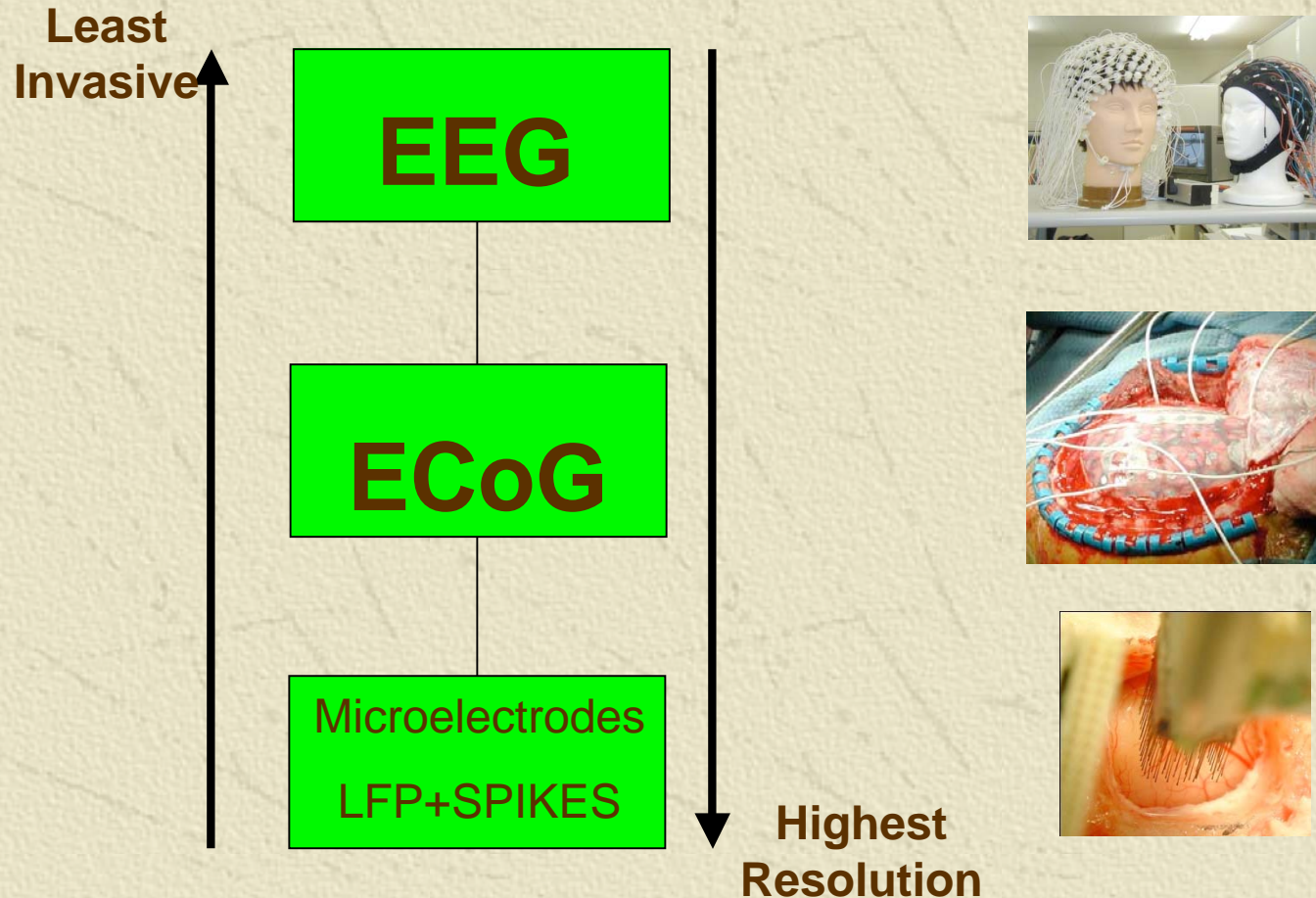
# Grand Challenges

## 1- How to improve the channel bandwidth?

- *More neurons, but 3.26 dB per neuron (Nicolelis)*
- *Better Algorithms, but the literature shows that one should not hope for miracles!*
- *Multiscale, (EEG/ECOG, LFPs, spikes) neural centric signal processing.*
- *Perhaps all of the above will be needed.*

# Multiscale Neural Signal Processing+ Modeling

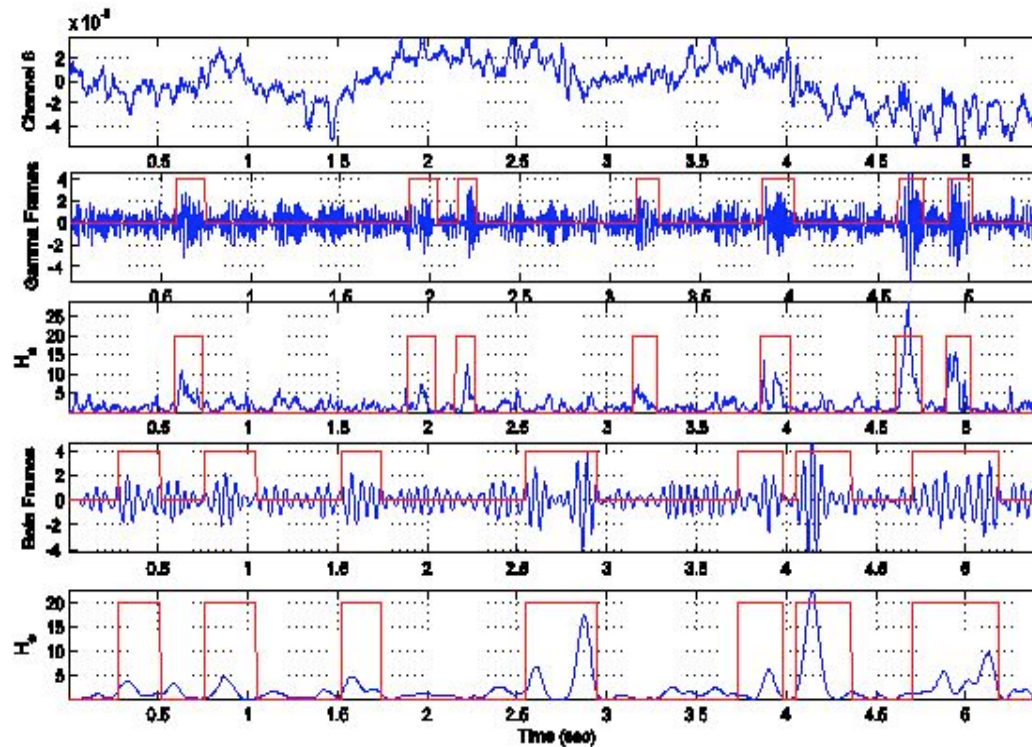
Develop new algorithms with a nested hierarchy for quantifying neural population dynamics.



Warning: be careful with the Fourier transform

# I- Understand EEG/ECOG Spontaneous Activity

## On-line Detection of Perceptual Frames



Beta /Gamma Frames

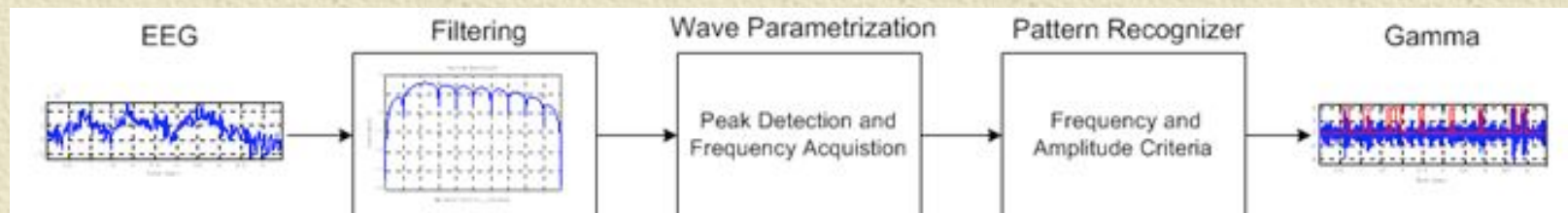
✧ Pragmatic Information  
(Walter Freeman)

$$H_e(t) = \frac{1}{N} \sum_{i=1}^N \frac{\|X_i(t)\|^2}{\|X_i(t) - X_i(t-1)\|}$$

$$X_i(t) = x_i(t) + j \cdot H\{x_i(t)\}$$

H{.} Hilbert Transform

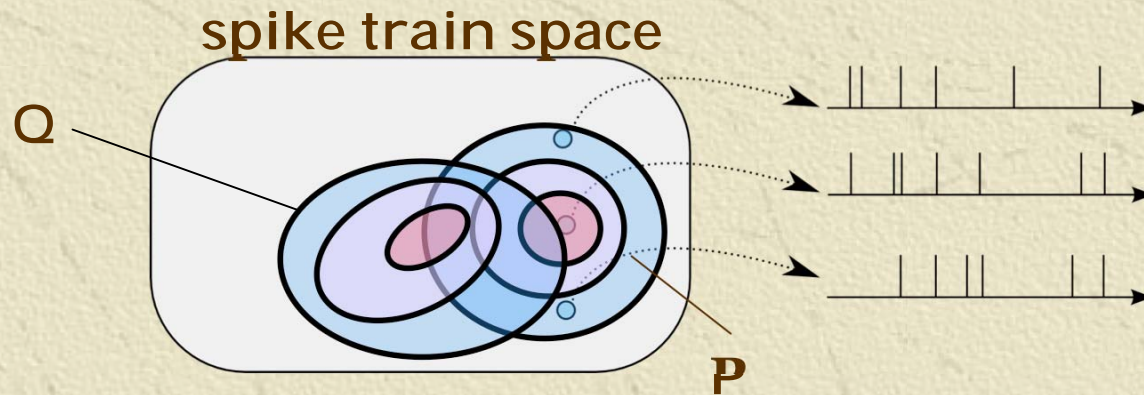
✧ Our pattern recognizer matches them well



# I-Definition of Spike Trains

Point process describes stochastically a sequence of events occurring in time

A spike train is **a realization** of a point process



- The **probability measure** over the spike train space defines a point process
- **Advantage:** Spike trains naturally create a sparse representation
- **Disadvantage:** The theory of point processes is difficult.

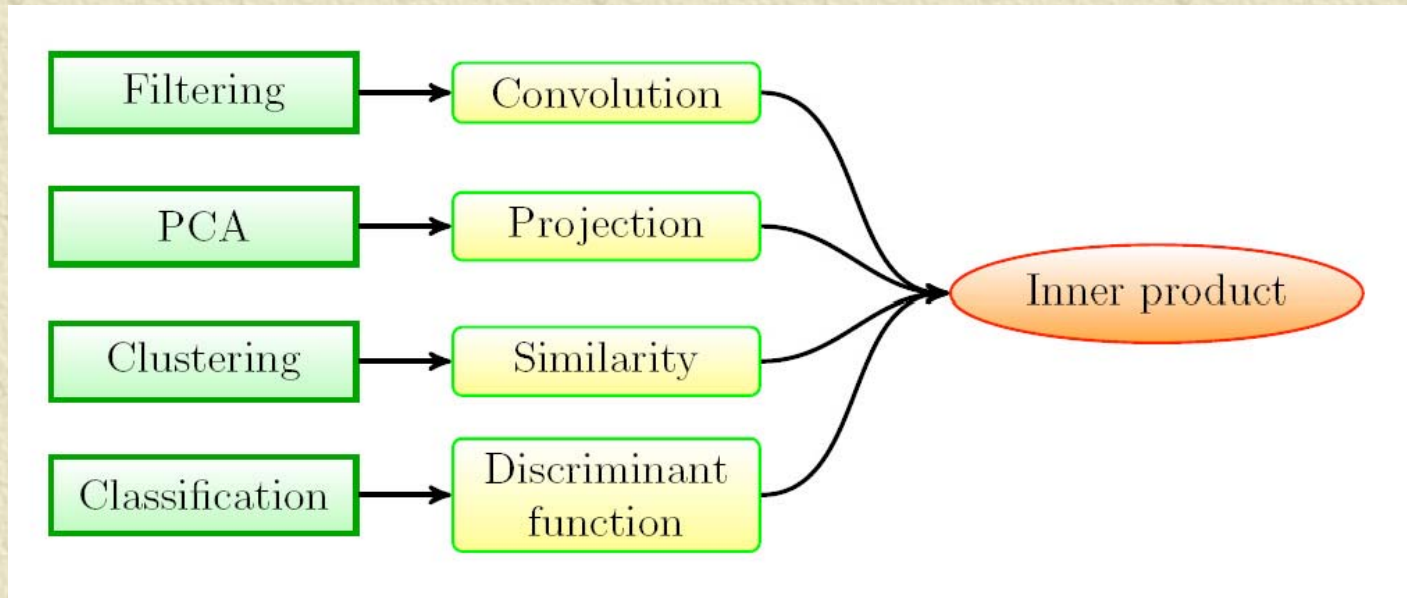
# I-Requirements for signal processing with spike trains

	topology	metric	linear structure	norm	inner product	
Metric space	O	O				k-Nearest Neighbor algorithm
Banach space	O	O	O	O		k-means algorithm
Hilbert space	O	O	O	O	O	Support Vector Machine, Least squares, PCA, CCA, ...
Point processes?	?	?	?	?	?	

Most signal processing algorithms operate in Hilbert space.

**What to do:** binning (that is easy and explores the sparseness), but it only **captures the full information** contained in the spike train structure in very restricted cases (Poisson).

# I- Inner Product: The fundamental operator for signal processing



## How can we do it?

We have defined a **Reproducing Kernel Hilbert Space (RKHS)** to provide the mathematical structure to perform signal processing with spike trains.

# I- Cross-intensity kernels

- ✦ Given two point processes  $p_i, p_j$ , define the inner product between their conditional intensity functions

$$\lambda(t | H_t) = \lim_{\Delta t \rightarrow 0} \frac{\Pr\{\text{event in } [t, t + \Delta t) | H_t\}}{\Delta t}$$

$$\begin{aligned} I(p_i, p_j) &= \left\langle \lambda_{p_i}(t | H_t^i), \lambda_{p_j}(t | H_t^j) \right\rangle_{L_2(T)} \\ &= E\left[\int_T \lambda_{p_i}(t | H_t^i) \lambda_{p_j}(t | H_t^j) dt\right] \end{aligned}$$

- ✦ This yields a family of **cross-intensity (CI) kernels**, in terms of the model imposed on the point process history,  $H_t$ .

## I- Kernel Examples

- ✦ **Memoryless CI (mCI) kernel:** For the Poisson process the inner product simplifies to

$$I(p_i, p_j) = \int_T \lambda_{p_i}(t) \lambda_{p_j}(t) dt$$

This is the simplest of the CI kernels.

- ✦ **Nonlinear cross-intensity (nCI) kernel:**

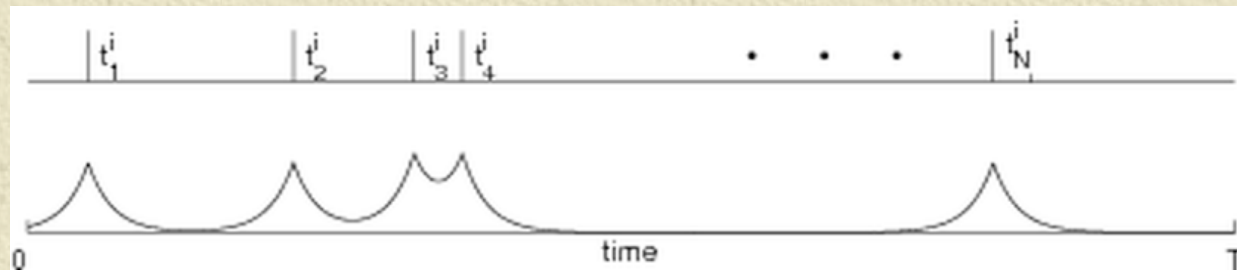
$$I_{\sigma}^*(p_i, p_j) = \int_T \kappa_{\sigma}(\lambda_{p_i}(t), \lambda_{p_j}(t)) dt$$

with  $\kappa_{\sigma}$  a symmetric positive definite kernel, which is sensitive to nonlinear couplings in the **time structure** of the intensity functions (such as in renewal processes).

# I- Estimation of the mCI kernel

- ✦ One needs to estimate the intensity function.
- ✦ Simplest approach is kernel smoothing: Places a smoothing function (e.g. exponential) at each event location,

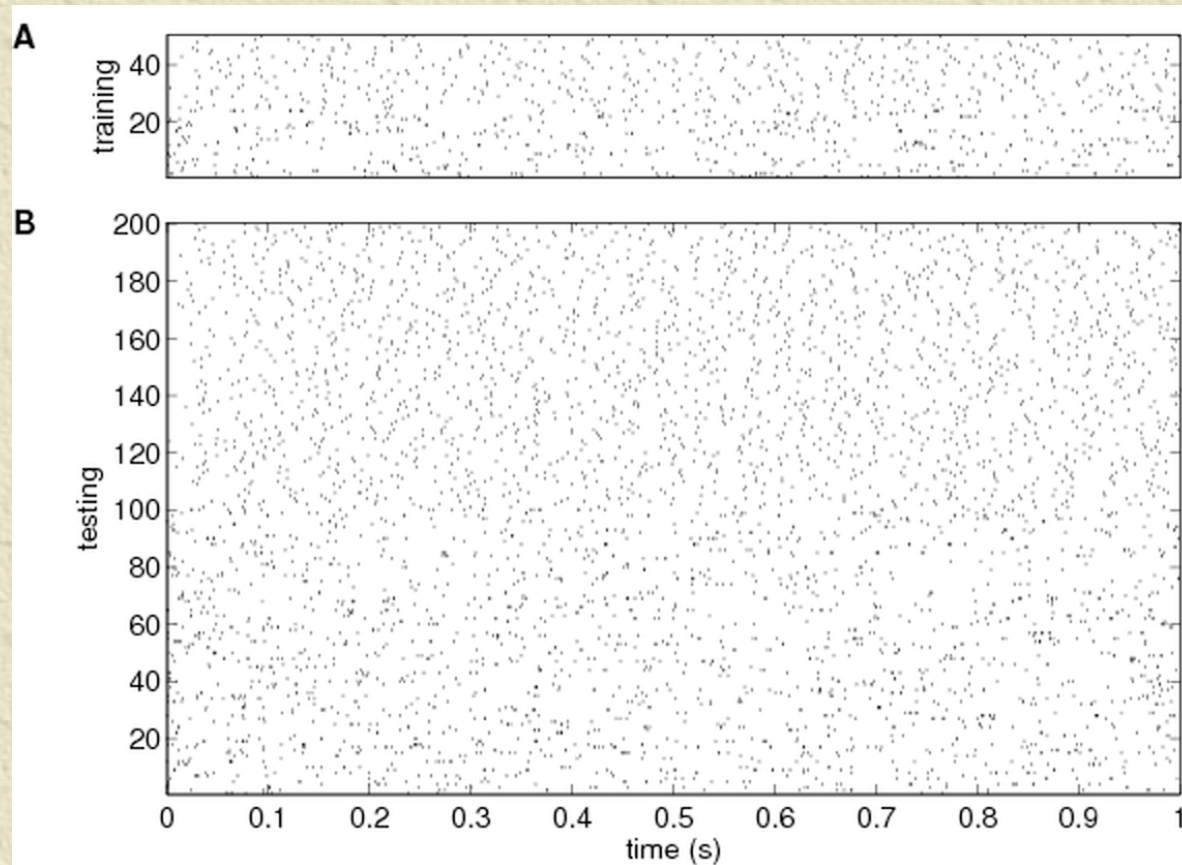
$$\hat{\lambda}_{p_i}(t) = \sum_{m=1}^{N_i} h(t - t_m^i)$$



- ✦ Shows clearly the difference between spike timing and rate methods (window size).

# I- PCA of Renewal Process Structure

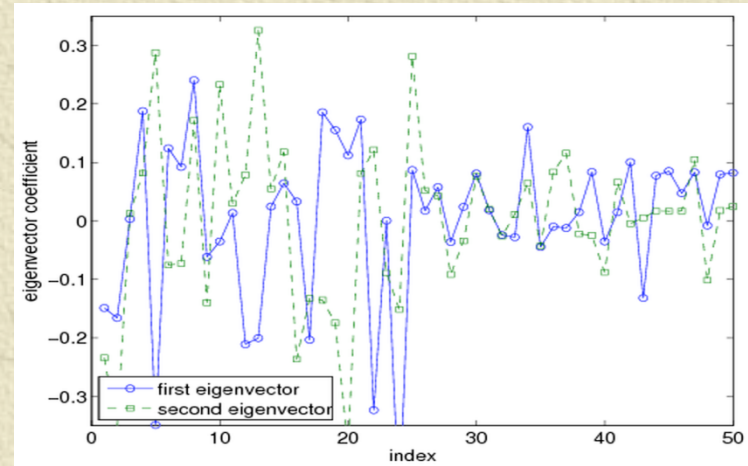
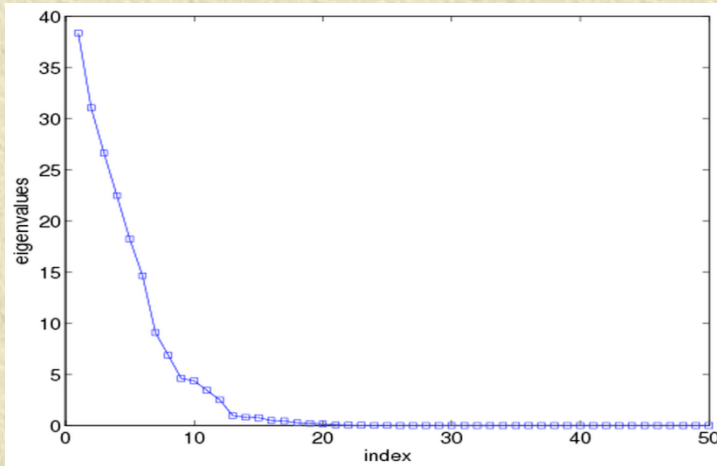
## Dataset



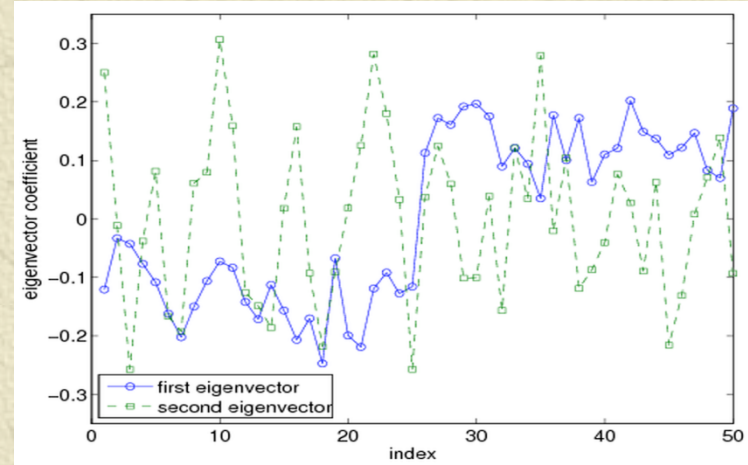
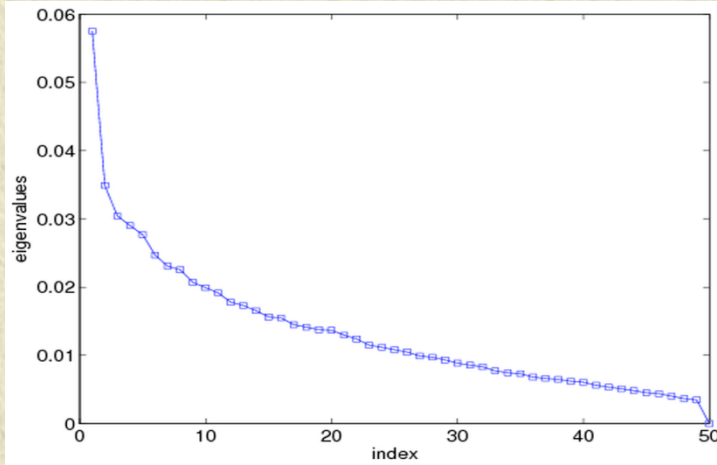
# I- PCA of Renewal Process Structure

## Eigenvalues and eigenvectors of ST structure

mCI kernel

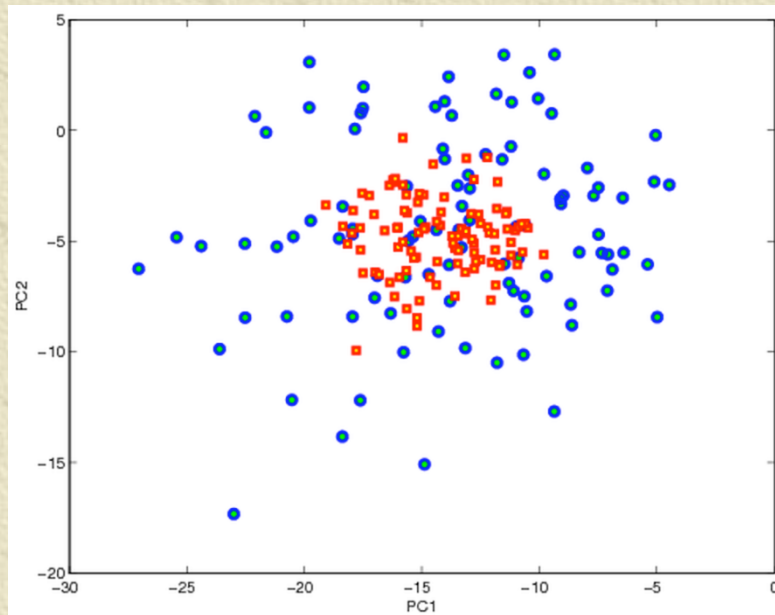


nCI kernel

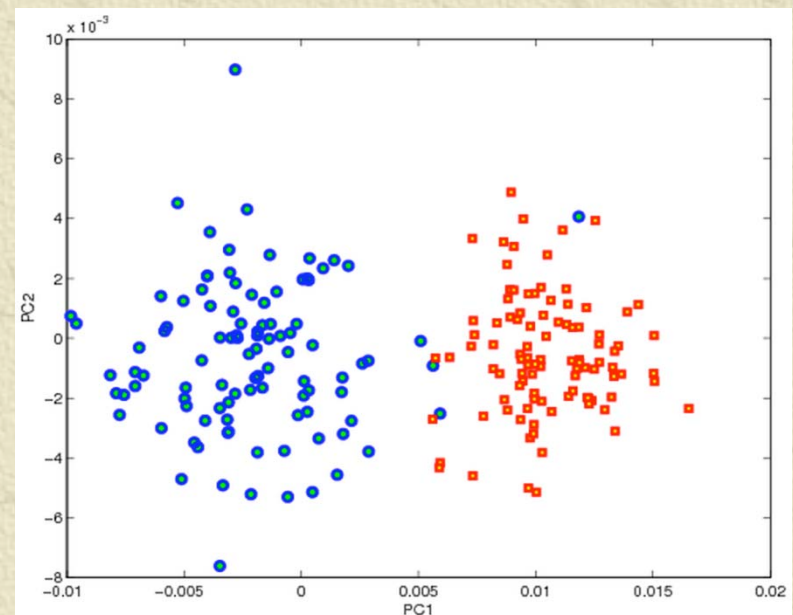


# I- PCA of renewal processes

Projection of testing dataset



**mCI kernel**



**nCI kernel**

## II- Kernel induced divergences

$$\mathcal{D}_K(P, Q) = \iint K(x, y) d\mu(x) d\mu(y)$$

$$\mu = P - Q$$

- ✦ If  $K$  is a strictly positive definite function on spike trains,  $\mathcal{D}_K$  is a divergence, i.e. it captures full statistics.

$$\sum_{i=1}^{N_P} \sum_{j=1}^{N_P} K(x_i, x_j) - 2 \sum_{i=1}^{N_P} \sum_{j=1}^{N_Q} K(x_i, y_j) + \sum_{i=1}^{N_Q} \sum_{j=1}^{N_Q} K(y_i, y_j)$$

# II- Statistical Inference with Kernel Divergence

## Hypothesis testing

- Change point detection, non-stationarity detection, plasticity detection

## Goodness of fit

- Model fitting

## Neural coding

- Classification error bound
- Coding capacity in the presence of constraints and noise

## Dependence

- Functional connectivity

## Conditional dependence

- Causality, functional connectivity

# Grand Challenges

## 1- How to Improve the Channel Bandwidth?

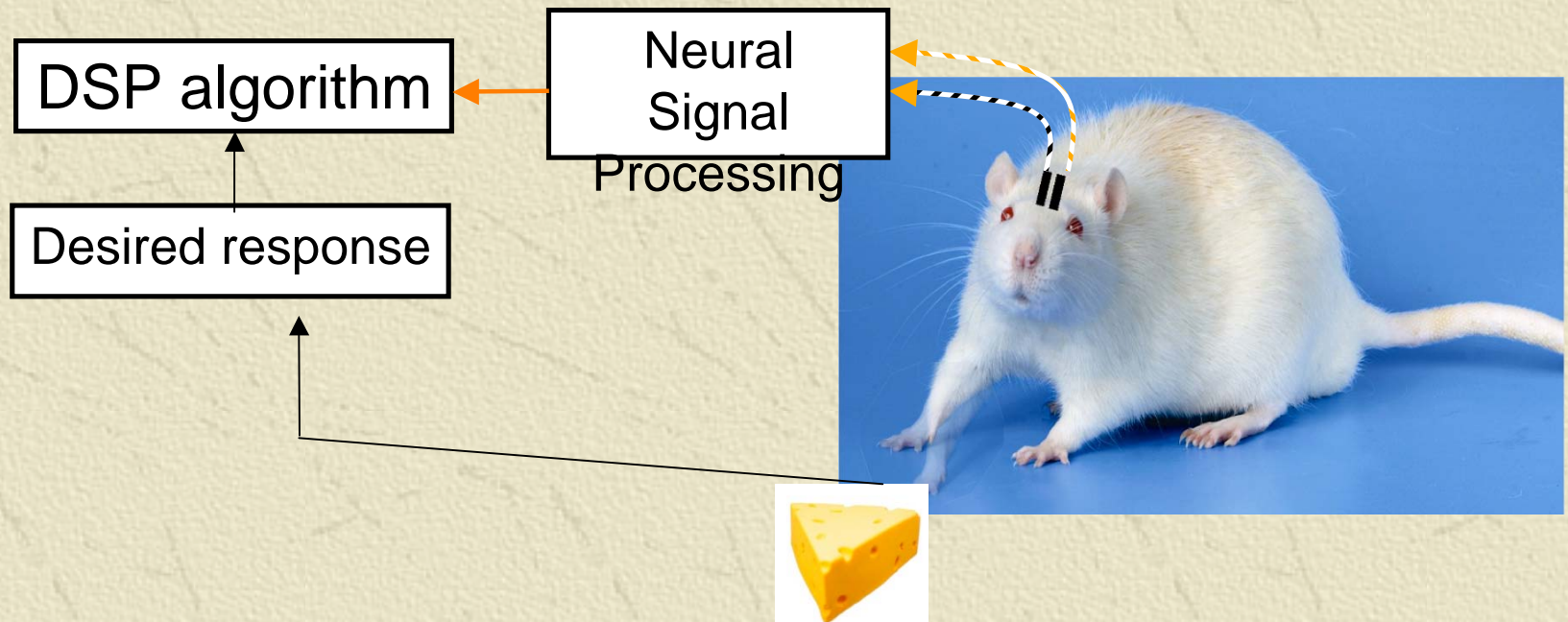
*Neural centric signal processing*

## 2- How to learn online the decoding without kinematic variables?

*Decoding is a necessary first step. But it can be improved. Novel BMI architectures – Symbiotic BMIs*

- *Allows for novel ways of cooperative adaptation and learning to expand the universe of brain decoders*
- *Capitalizes on the engineering knowledge of optimal control and computational intelligence*

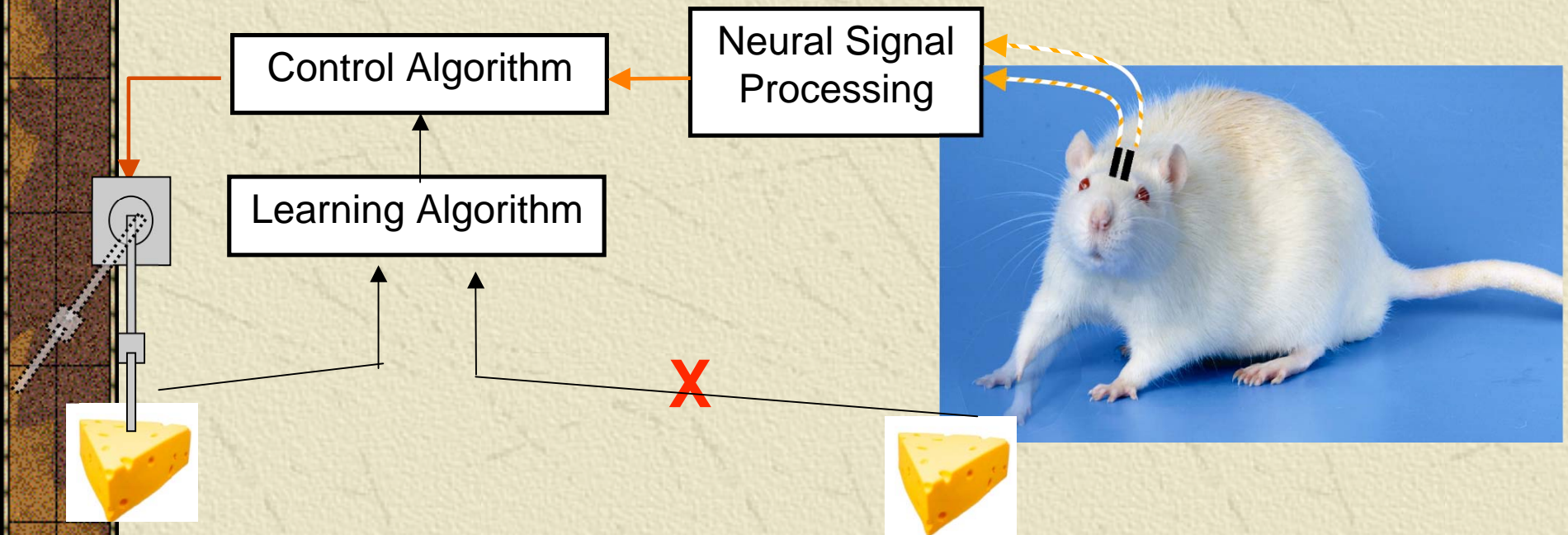
# A Paradigm Shift for BMIs!



During training the user actions create a desired response to the DSP algorithm.

During testing the DSP algorithm creates an approximation to the desired response.

# A Paradigm Shift for BMIs!



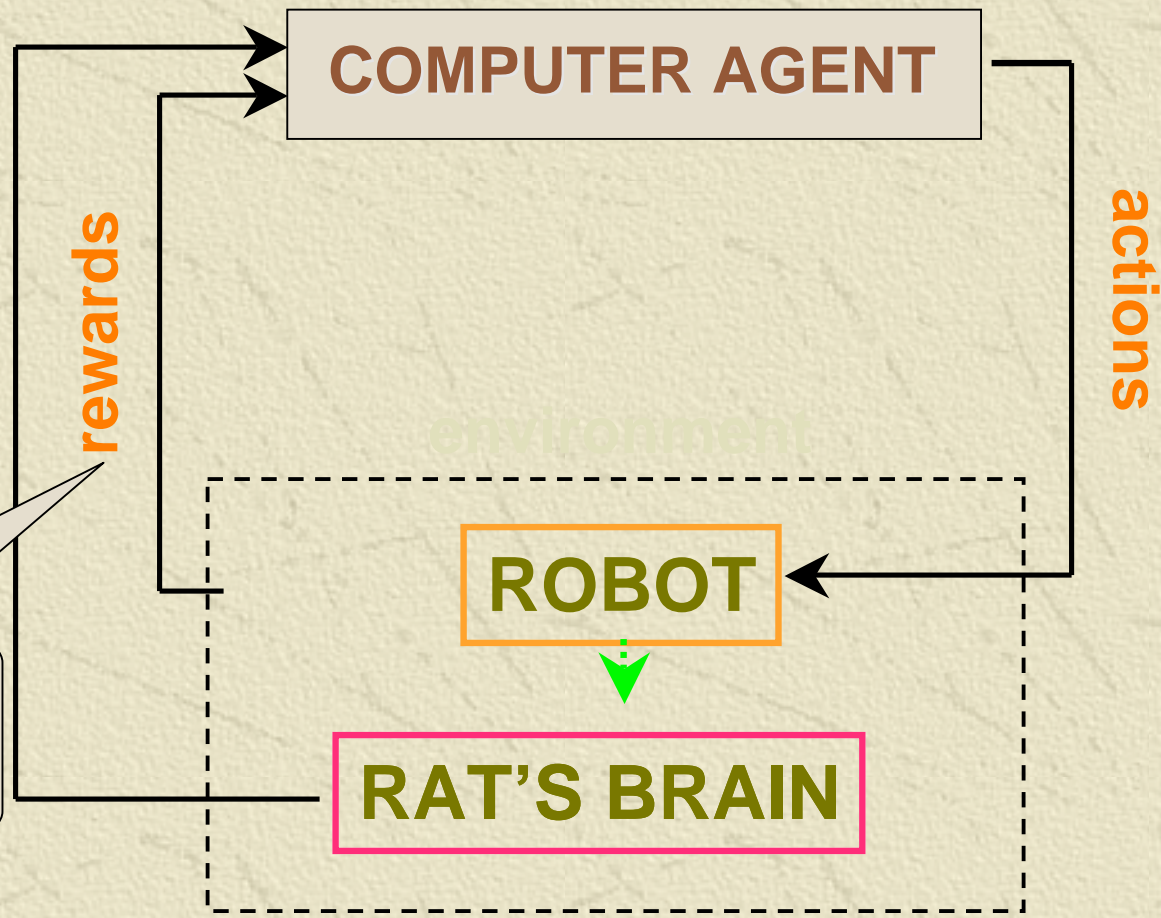
The control algorithm learns through reinforcement to achieve common goals in the environment.

Shared control with user to enhance learning in multiple scenarios and acquire *the net benefits of behavioral, computational, and physiological strategies*

# S-BMI involves TWO intelligent agents in a cooperative dialogue!!!

User's neuromodulation sets the value function for the CA

Both the CA and the user have the same reward in 3D space



# Features of Symbiotic BMI

- ✦ Both **systems adapt in close loop** in a very tight coupling between brain activity and computer agent CA ( CA states are specified by brain activity).
- ✦ **User must incorporate the CA in its world representation**
- ✦ **CA must decode brain activity to evaluate its actions.**
- ✦ This architecture implements a symbiotic biological-computer system.
- ✦ **First Generation:**  
Reinforcement Learning  
(biological plausible)

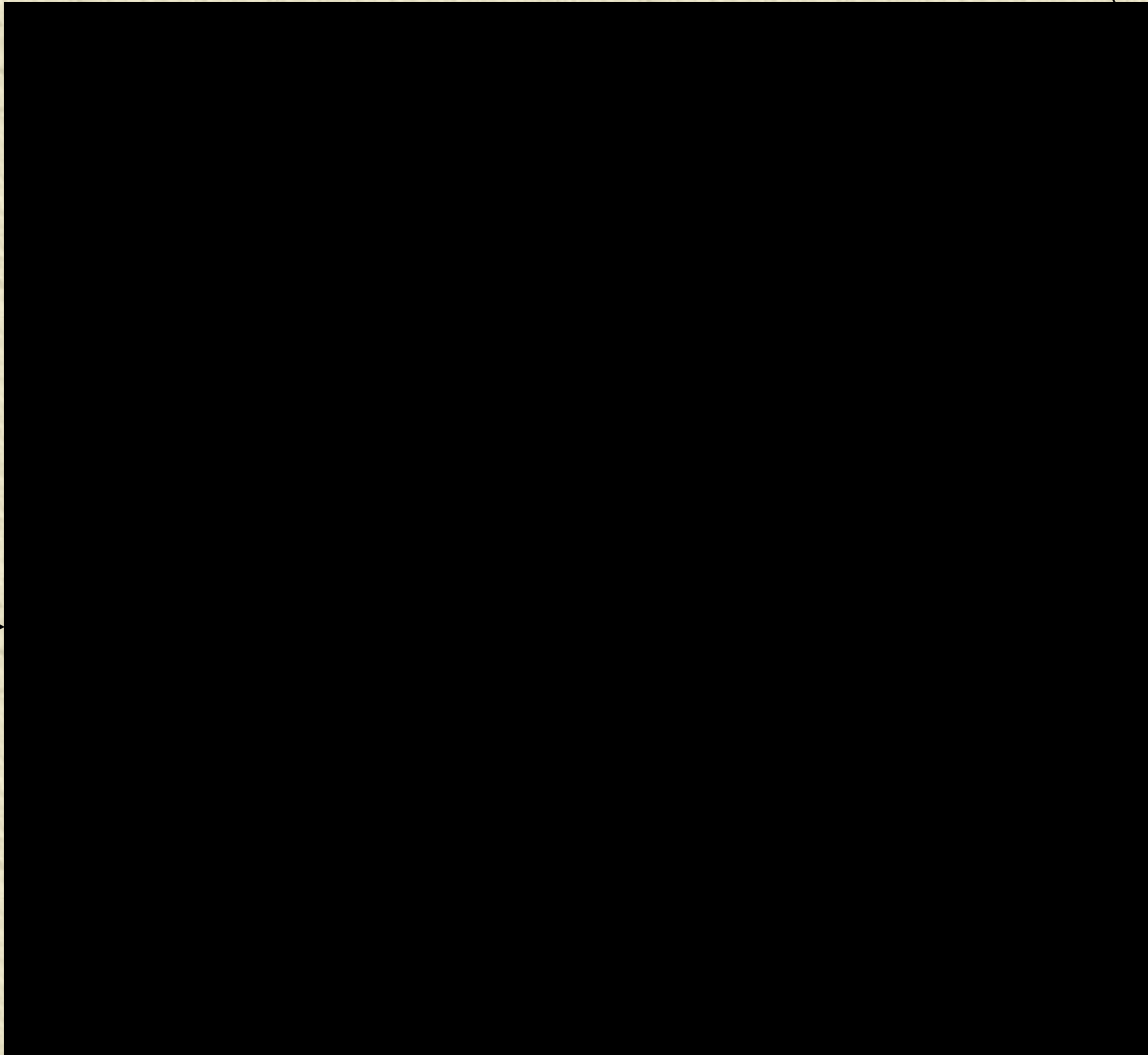


# Closed-Loop RLBMI (top view)



Functional  
levers →

Non-  
functional →  
levers



Robot  
workspace in  
rat visual field of  
view.

BLUE – Robot  
GREEN - Lever

Top-view of  
the rat  
behavioral  
cage.

# Grand Challenges

## 1- How to Improve the Channel Bandwidth?

*Neural centric signal processing*

## 2- How to learn online the decoding without kinematic variables?

*Novel BMI architectures – Symbiotic BMIs*

## 3 – Funding

*I would hope that NIH creates a new **Study Section** for neuro prosthetics (CNS/PNS) to capitalize on the critical mass, knowledge, vitality and vision of this group of researchers*

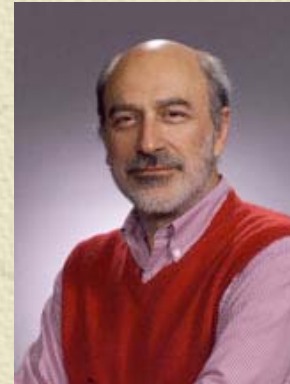
## Team



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**Dr. Renato  
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Rattanatamrong**

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**II (Memming) Park  
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**Austin Brockmeier**

**Funding Agencies: NSF CRCNS and ECS, DARPA, ONR, NIH**